IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Doyle W. Eakin

Serial No.: Not available Filed: September 4, 2003 Group No.: Examiner:

Not available Not available

For: Plant Pot Drainage Tray

Mail Stop PATENT APPLICATION **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

> TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Reg. No. 39,014

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CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

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Richard A. Ryan, PTO#

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INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements

Date: 9/4/2003

- 2. Forms PTO/SB/08A (substitute for Form PTO-1449)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

I hereby certify that, on the date shown below, this correspondence is being:

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Signature

Richard A. Ryan, PTO # 39,014

(type or print name of person certifying)

(Information Disclosure Statement—page 1 of 4)

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A (substitute for Form PTO-1449)

Inventor: Doyle W. Eakin (Information Disclosure Statement—page 2 of 4)

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PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	1	of	1

Complete if Known					
Application Number					
Filing Date	09/04/2003				
First Named Inventor	Doyle W. Eakin				
Group Art Unit					
Examiner Name					
Attorney Docket Number	RAR471.01				

				U.S. PATENT DOCI	JMENTS	
Examiner Initials*	Cite No.1	Number	ocument Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	2002/00358	03 A1	Dummen	03/28/2002	
	2	6,510,653	B1	Workum, et al.	01/28/2003	
	3	6,497,071	B1	Main, et al.	12/24/2002	
	4	6,385,899	B1	Treganza	05/14/2002	
	5	6,289,632	B1	Boling	09/18/2001	
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	8	5,448,854		Hirsch, et al.	09/12/1995	
	9	5,379,548		Peterson	01/10/1995	
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	15	4,204,367		Cone	05/27/1980	
	16	4,183,176		Barfield	01/15/1980	

FOREIGN PATENT DOCUMENTS									
Examiner Cite		F	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	П	
Initials*	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆	
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Examiner		Date	
Signature		Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Reg. No.: 39,014

Tel. No.: (559) 447-1862

Customer No.: 29762

Inventor: Doyle W. Eakin

SIGNATURE OF FRACTITIONER

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